

%\ODZV RI WKH WKH 8QLYHUVLW\ RI 6RXWK \$ODEDPD &R
&UHDWHG -XO\
5HYLVHG 6HSWHPEHU

6HFWLRQ 2QH 2UGHU RI %XVLQHVV
&DOO WR 2UGHU
D 7KH 3UHVLGHQW RI WKH &ROOHJH -XQLRU 3DQK
PHHWLQJV WR RUGHU
E ,Q WKH 3UHVLGHQW V DEVHQFH WKH 9LFH 3UH
5ROO &DOO DQG \$SSURYDO RI 0LQXWHV
D 3UHVLGHQW VKDOO FDOO UROO RI WKH IROORZ
L &ROOHJH -XQLRU 3DQKHOHQLF &RXQFLO (I
LL 3DQKHOHQLF 'HOHJDWHV RI HDFK VRURULV
LLL *XHVWV RI HDFK VRURULW\
LY -XQLRU 3DQKHOHQLF \$GYLVRU
E 3UHVLGHQW ZLOO PRWLRQ IRU DSSURYDO RI PL
UHTXLUHG WR DSSURYH PLQXWHV
2IILFHU 5HSRUWV
D ,QGLYLGXDOV ZLOO JLYH UHSRUWV LQ WKH IRO
L 3UHVLGHQW
LL 9LFH 3UHVLGHQW
LLL 6HFUHWDU\
LY 7UHDVXUHU
Y -XQLRU 3DQKHOHQLF \$GYLVRU
YL 3DQKHOHQLF 'HOHJDWHV RI HDFK PHPEHU
RUGHU
&RPPLWWHH 5HSRUWV
8QILQLVKHG %XVLQHVV

\$GMRXUQH

6HFWLRQ 7ZR 2IILFHUV DQG 2IILFHU 'XWLHV
2IILFHUV
D 7KH RIILFHUV RI WKH 8QLYHUVLW\ RI 6RXWK \$O
&RXQFLO VKDOO EH 3UHVLGHQW 9LFH 3UHVLGH
2IILFHU 'XWLHV
D 7KH 3UHVLGHQW VKDOO
L 3UHVLGH DW WKH PHHWLQJV RI -XQLRU 3DQ
LL &DVW WKH GHFLGLQJ YRWH LQ WKH FDVH R

LLL6KDOO DWWHQQ WKH UHJXODU PHHWLQJV R
\$ODEDPD 3DQKHOOHQLF &RXQFLO
E 7KH 9LFH 3UHVLGHQW VKDOO
L 3HUIRUP WKH UHVSQRVLELOLWLHV RI WKH 3
LL 6HUYH DV &KDLUSHUVRQ IRU WKH -XQLRU 3
&RPPLWWHH
F 7KH 6HFUHWDU\ VKDOO
L .HHS DQ XS WR GDWH UROO RI PHPEHUV DQ
LL .HHS IXOO PLQXWHV DW HYHU\ PHHWLQJ RI
LLL%H LQ FKDUJH RI FRUUHVSRQGHHQH LQYLW
QRWHV
G 7KH 7UHDVXUHU VKDOO
L .HHS D UXQQLQJ EDODQFH RI WKH JLYHQ DF

6HFWLRQ 7KUH 3KLODQWKURS\
7KH 8QLYHUVLW\ RI 6RXWK \$ODEDPD -XQLRU 3DQKHOOH
0RELOH \$5& 7KH -XQLRU 3DQKHOOHQLF &RXQFLO VKDO
HYHQWV IRU WKH 0RELOH \$5& GXULQJ WKH IDOO VHPHV
3DQKHOOHQLF ZLOO KDYH RQH VPDOO SKLODQWKURS\
IXQGUDLVLQJ HYHQW WR EHQHILW WKH 0RELOH \$5&

6HFWLRQ)RXU 0HHWLQJ 5XOHV
7KH UXOHV FRQWDLQH LQ WKH FXUUHQW HGLWLRQ RI
JRYHUQ WKH 8QLYHUVLW\ RI 6RXWK \$ODEDPD -XQLRU 3
ZKHQ WKH\ DUH QRW LQFRQVLVWHQW ZLWK WKH 13& 8Q
DQ\ VSHFLDO UXOHV RI RUGHU WKH -XQLRU 3DQKHOOHQ

6HFWLRQ)LYH \$EVHQFHV
7KH IROORZLQJ DSSOLHV WR WKH GHOHJDWHV DV ZHO
3DQKHOOHQLF &RXQFLO
\$OO -XQLRU 3DQKHOOHQLF 'HOHJDWHV DQG \$OWHU
WKURXJK WKH 3DQKHOOHQLF 9LFH 3UHVLGHQW DQG
PHHWLQJV
,I D GHOHJDWH RU DOWHUQDWH PLVVHV WZR XQH[F
&RXQFLO PD\ UHTXHVW DQ\ HOLJLEOH VRURULW\ WF

6HFWLRQ 6L[9RWLQJ
7KH SRZHU RI RQH YRWH VKDOO EH JUDQWHG WR HDFK
QRW DOORZHG WR YRWH IRU WKHPVHOYHV

6HFWLRQ 6HYHQ \$PHQGPHQW RI WKH %\ODZV
\$Q\ DPHQGPHQWV WR WKH -XQLRU 3DQKHOOHQLF %\ODZ
YRWH RI DOO -XQLRU 3DQKHOOHQLF PHPEHUV